Grammatical relations in the West !Xoon dialect of Taa

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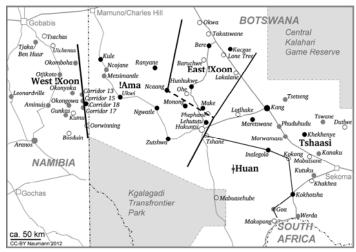
1 Preliminaries

1.1 General grammatical-relation (GR) approach

- + GR = regular, structurally determined status of a nominal constituent with respect to
- a) VERBAL clause nucleus, if it is its argument =
- = most narrow but common sense
- b) VERBAL clause nucleus, irrespective of valence status $\;=\;$ in-between sense used here
 - > excludes NP relations in non-verbal-predications as well as in complex NPs
 - > implies the presence of an independent NP, verb-integrated pronominal ≠ GR!!!
- + GRs are language-specific (Dryer 1997), if not construction-specific (Bickel 2011)
- determined by any formal properties relevant in a given language, notably but not exclusively word order, agreement, flagging, prosodic integration etc.
- > GR conceptualized as to how different semantic-functional notions like verb-related semantic role, referent type, information structure, etc. are conflated into a manageable set of morphosyntactically defined form classes

1.2 The genealogical context of West !Xoon

- + variety of Taa (= Taa [taaa1242] in Glottolog, and !Xóõ [nmn] in Ethnologue)
- > West !Xoon forms primary West branch, as opposed to East Taa which includes East !Xoon researched by A. Traill (cf. Naumann 2014)



Map 1: The West !Xoon variety within the Taa language complex

- + Taa as part of the Taa-Lower Nossob branch of the Tuu family, an isolate family (classified in the past as "Southern South African Khoisan", Greenberg 1963)
- > cf. Figure 1, Map 2

Taa-Lower Nossob

Taa (DC) West !Xoon, N|u||'en†; 'N|oha, East !Xoon, Kakia†, ...

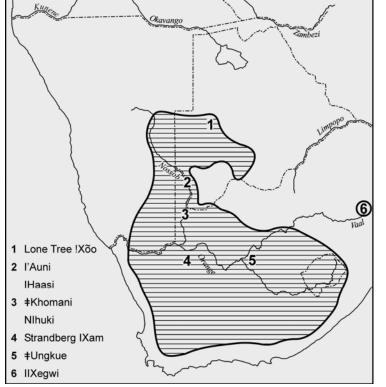
Lower Nossob |'Auni†

|Haasi†

!Ui N||ng, N|uu (DC)

†Ungkue† ∥Xegwi† |Xam† (DC)

Figure 1: Classification of Tuu (cf. Güldemann 2014)



Map 2: Approximate historical distribution of Tuu (after Güldemann 2005: 13)

1.3 The areal-typological context and basic structure of West !Xoon

1.3.1 The Non-Khoe type

- + Tuu family, including Taa, is part of a robust "language type" in the Kalahari Basin called Non-Khoe (as opposed to the other Khoe-(Kwadi) type) (cf. Güldemann and Vossen 2000)
- $\,>\,$ partly marked morphosyntactic profile, including features crucial for the GR system:
- a) S V (O OTHER) clause order
- b) most verbs with maximal valence of one non-S/A participant
- c) verb serialization (mostly root type, Foley and Van Valin 1984) and verb compounding
- d) prominent flagging by a special type of default marker for most postverbal participants outside the valence of the verb, aka "multipurpose oblique (MPO)" marker, and, depending on the language, a few more semantically dedicated adpositions
- > strict syntactic template of basic clause (subscript n = possible multiple occurrence):

[SUBJECT - PREDICATE.OPERATOR, - ADVERB - VERB, - OBJECT - PREP + OBLIQUE,]

+ most extreme case described so far for Tsumkwe Ju|'hoan (Southeast Ju, Kx'a family) with a single multi-participant construction: [VERB_a - OBJECT - kò + OBLIQUE_a]

SBJ PO V OBJ [PREP OBLIQUE] kò ha kú ∥ohm-a !aìhn g|úí IPFV [chop-VE, tree,] MPO forest ha kú ∥ohm-a g/úí kò !aìhn IPFV chop-VE_v forest MPO tree.

He was chopping the tree in the forest. (Dickens 2005: 39)

- + even relevant for ditransitives (rare secundative alignment due to strong animacy effect)
- > no obvious ditransitive verbs including 'give' (see König and Heine 2010)
- (2) SBJ V OBJ [PREP OBLIQUE]

 dà'áma jàn /'àn ha bá kò màrì

 child good give 3S father MPO money

 The good child gave his father money. (Dickens 2005: 40)
- > MPO semantically very broad: most semantic roles except those typically expressed by the subject (agent, experiencer, force), viz. patients, all kinds of obliques and adverbials
- > postverbal nominal encoding by position and flagging more sensitive to animacy (?and information structure) than to semantic roles (cf. Güldemann 2004)

1.3.2 Extensive cross-reference as a Taa-specific feature

- + morphological system of cross-reference and agreement on a wide range of hosts like copula (3), adjectives (3), some numerals (4), **transitive verbs** (4), **all prepositions**, conjunctions etc.
- (3) ú qárú kù !xab`-ù
 2P parents.P4 COP:4 big.P-4
 Your parents are big/old. (TWb110927-0106.008)

(4) a. ń sí n/à-í ‡hàì ‡"ù-ì 1S IPFV see-1 dog.S1 one-1

b. ń sí n/à-àn márí #"ó-àn
 1S IPFV see-2i > goat.S2i one-2i
 I see one goat. (TWb090405-0101.005)

I see one dog. (TWb090405-0101.001)

- + entails 5 speech-act-participant forms and 7 agreement classes within a complex gender system (Traill 1974, Güldemann 2000, Kießling 2008)
- > prosody of a high/low tone contrast relevant for subtle distinctions within cross-reference system (as well as prepositional flagging, see §2.3)
- > person and agreement class markers are grouped into two tone classes Table 1

| Person and | | Agreement suffix for | Anaphoric | Agreement |
|------------|-----------|---------------------------------|----------------------------------|--------------------------------|
| agreement | | following object | pronoun suffix | on adjective |
| class | | ('see-X > stone _x ') | ('[stone _x] see-IT') | ('stone _x small-X') |
| Tone | 1S | n/a | -ńg [-ń] | -ǹg |
| class I | 2S | n/a | -á | -à |
| | 1P | n/a | -í | -1 |
| | 2P | n/a | -ú | -ù |
| | ACL 2i | -àn [-ằ] | -án | -àn |
| | ACL 3i | -è | -é | -è |
| Tone | ACL 1(ii) | -í | -ì | -ì |
| class II | ACL 2ii | -án [-ấ] | -àn | -àn |
| | ACL 3ii | n/a | -è | -è |
| | ACL 4(ii) | n/a | -ù | -ù |
| ACL 5(ii) | | n/a | -ǹg [-ŋ̀] | -ǹg |

Table 1: Cross-reference marking and tone classes

2 GRs in West !Xoon

2.1 Encoding of GRs

(I) Word order

- basic distinction of preverbal vs. postverbal position
- secondary distinction of preverbal clause-initial vs. immediately preverbal
- secondary distinction of immediately postverbal vs. "late" postverbal (see below)

| (5) |) Clause- PO | | Pre- | V | Post- | | | "Late" post- | | |
|-----|--------------|--------|---------|-----------|-----------------|------------|---------|--------------|------------|--|
| | initial | | verbal | | verbal | | verbal | | | |
| | è | ă | qháré | g∥àqh-í | n!áqì | /ì | ⊙áì | kê | n∥àhè | |
| | 3ii | PST | noon.3i | pull-1 | hartebeest.S1 | GEN:1 | meat.S1 | MPO:3i | house.S3i | |
| | He pulle | ed the | meat of | the harte | beest to the ho | ouse at no | on. (TW | ъ080312 | -0304.041) | |

(II) Verbal cross-reference

- single slot in 2nd mora of bimoraic transitive verb lexemes within above system, crossreferencing the first postverbal NP
- > typologically unusual as only with non-S/A and not with S/A participant

(III) Flagging

- principal distinction between presence vs. absence of flagging (correlating with "later" postverbal vs. other GR positions)
- additional distinction within the set of inflected prepositions in the "later" postverbal slot which also must cross-reference their complement according to above system (see §2.2.4)
- + preliminary analysis of formal encoding results in a set of four basic GRs

| GR | I | | III | IV |
|--------------------------|-----------------|-----------|------------|-------------------|
| | Clause-initial | Preverbal | Postverbal | "Late" postverbal |
| Word order (_ = GR slot) | _ (PO) (GRII) V | _ V | V _ | V (GRIII) _ |
| Verbal cross-reference | NO | NO | YES | NO |
| Flagging | NO | NO | NO | YES |
| Language-specific label | "SUBJECT" | "ADVERB" | "OBJECT" | "PREPOSITIONAL" |

Table 2: Preliminary system of basic GRs in West !Xoon defined by formal encoding

- > appears to be already defined sufficiently in terms of a linear template (cf. (5) above)
- > all postverbal NPs are marked, either by verbal cross-reference or prepositional flagging

2.2 Four basic GRs

2.2.1 I Subject (SBJ)

- + typologically unremarkable in largely conflating information-structural role of topic and semantic role of S/A
- + positionally defined, also in opposition to fronted initial NP for term focus and theticity
- (6) tuu k[u si qoye] (as opposed to simple: tuu si qoye) people.4 THET:4 IPFV dance.initiation:3

 The people dance the initiation dance. [involving topic shift] (TWa040429-0101.057)

2.2.2 II Adverb (ADV)

- + semantically restricted to expressions of manner, time and location
- + GR status only to the extent that filler of syntactic position is nominal
- > not universal because of other typical fillers, e.g., true adverbs, locative deictics (nominal fillers identifiable by morphology and possible alternative postverbal occurrence)
- (7) ĕ kàhng sí ⊙ánn
 3ii here IPFV sleep
 He is sleeping here. (TWb090405-0111.016)

2.2.3 III Object (OBJ)

- + triggered by inherently transitive verbs (as opposed to intransitives and other verb types)
- > first in sequence of postverbal constituents before all prepositional NPs of GRIV
- + verbal cross-reference can be true agreement of a GRIII Object, as in (8)a., or anaphoric pronominalization without a GR, as in (8)b./c.

(8)

- a. $\#h\grave{a}i$ sí sá'y-è tá \grave{a} Canonical agreement dog.S1:DEFIPFV bite-3i_x person.S3i_x

 The dog bites the person. (TWb090405-0104.004)
- b. *Oqáqè* ńń, †hàì kǎ sá'y-é Non-GR anaphoric O pronominalization child.S3i_x ID dog.S1 FUT bite-3i_x

It's a child, a/the dog will bite it. (TWb090405-0104.014)

c. †hàí sí sá'y-è ⊙áà Non-GR anaphoric POSSR pronominalization dog.S1:DEF IPFV bite-3ii_x offspring.S3i_y
 The dog bites his_x child_y. (TWb090405-0104.007)

+ object encoding in verb serialization only relevant for last verb, non-final transitive verb occurs in default form (which varies unpredictably across different verb lexemes)

(9)

a. á sí **sá'y-í**⊙áì

2S IPFV bite-1 meat.S1

You bite the meat (off), (TWb090405-0104,002)

b. á sí **sá'í qx'ú-í** ⊙á

2S IPFV bite take.off.S-1 meat.S1

You bite the meat off. (TWb090405-0104.003)

2.2.4 IV Prepositional (PREP)

+ prepositionally flagged NPs represent in fact a set of GR (sub)types which is internally structured (see §2.3)

| No. | Form | Label | Gloss |
|-----|------|-----------------------|-------|
| 1 | /"M | Comitative | COM |
| 2 | kuM | Similative | SIML |
| 3 | n/M | Dative | DAT |
| 4 | sM | External object | EXO |
| 5 | kM | Transitive | TRA |
| 6 | kM | Multi-purpose oblique | MPO |

Table 3: List of prepositions

2.3 The prepositional complex

2.3.1 Six different prepositionals

2.3.1.1 Comitative (COM)

- + semantically specific reference to association with a state-of-affairs in a wider sense
- > predominantly comitative, instrumental, etc.
- (10) ě sí sáì /"è 'n/áng
 3ii IPFV come COM:3ii spouse.3i
 He comes with his wife. (TWb090405-0105.003)
- (11) ě sí sáà /"ĭ tàhò kí g∥áú-sí /áì kì
 3iiIPFV go COM:1 path.S1 I.REL:1 straight-ADV stay F.REL:1
 She is going on ("with") the path which is straight. (TWb090405-0114.020)
- + also used for NP coordination causing occasional potential syntactic ambiguity between [[V OBJ] [COM NP]] vs. [V [NP COM NP]]

2.3.1.2 Similative (SIML)

- + single inherently "prepositional" verb náà 'appear, look like' with obligatory similative:
- (12) kùhmí 'n∥àqhàn náà kùí !óm̀
 cattle.1:DEF be.fat appear SIML:1 eland.S1
 The cow is as fat as an eland. (TWb080325-0108.014)
- + otherwise triggered by specific semantic reference to similarity, manner etc.
- (13) e si tana (ka)kui¹ Peter 3ii IPFV talk SIML:3ii PN.3ii He speaks/talks like Peter.

2.3.1.3 Dative (DAT)

- + single prepositional verb tàqhm 'recover' with obligatory dative:
- (14) n!hhúún-àqà |óà sí qàqí ň g!q'àm, xàtà é tàqhm n|éè old.S3i-father.S3i recently IPFV be.sick.Sand be.weak but 3i recover DAT:3i The old man was sick and weak, but he is recovered. (TWb080411-0114.003)
- + otherwise tied to cooccurrence with other GRs and marks also productively benefactives,
 malefactives, and other goal-like participants
- object-dative combination as default for transfer expressions
- > no obvious simple ditransitive verbs including a genuine 'give' (cf. Güldemann 2007)
- (15) è ă ∥hóá |ú-í 'n⊙áì n|áng

 3ii PST NEG grab.S-1 wood.S1 DAT:1S

 He did not give the stick to me. [lit.: get the stick for/to him; get him the stick]

 (TWb090405-0116.009)
- + originates historically in a transitive verb *n*/*aM* 'give' (Güldemann 2007)

2.3.1.4 External object (EXO)

- + relevant for a closed class of so far less than 10 prepositional verbs
- > NP semantically object-like:
 - human: n⊙hhàí sM 'ask' (+ for sth. kM), ‡áí sM 'call', ∥"úìn sM 'hate'
 - non-human: dzòhàn sM 'cook', sín/árú sM 'roast', sí+ánà sM 'divide', n!"úú sM 'close'
 - alternating with TRA kM: g//qòqbú-g//qòqbú sM 'shake', dialectally g//qx'árú sM 'scratch'

¹ The alternation between *kuM* and *kakuM* seems to be free and remains unclear.

- + controversial status as an independent GR because sM:
- originates in a transitive verb sáM 'get', as in (16), which is still transparent for speakers
- behaves occasionally as a phonetically long bimoraic verb, as in (17)
- > alternatively: lexicalized verb compound with canonical GRIII Object (cf. (9)b.)
- (16) á ǎ sá-í pénn 2S PST get-1 pen.S1

You have got a pen. (TWb080312-0201.096)

(17) ń ||"úìn sá-è Ιì qóyè ⊙áì

1S hate EXO/get-3i ostrich.S3i GEN:1 meat.S1

I hate/don't like the meat of the ostrich. (TWb090405-0107.006)

> predominant phonetic shortness as principal criterion for already viewing sM as a GRdefining preposition but realistically presumably on the threshold of grammaticalization

2.3.1.5 Transitive (TRA)

- + occurs with a second but larger class of prepositional verbs
- (18) ń sí n/ámí kê !"óyè 1S IPFV throw TR:3i stone.S3i I throw a stone. (TWb040519-0301.252)
- + also used productively for transitivization of intransitives, among them recurrent loans, e.g., Khoekhoe /"ùrù 'forget', kúrù 'make', /hháú/hháú 'collect'
- (19) ǔ sí ||ám kí !aàhè

4 IPFV talk TRA:1 hunt.1

They talk about the hunt (TWb090405-0113.002)

- + also with clausal constituents after #"àùn 'must', nlúnì 'want', |àqm 'love', etc. (20)
- ě |àqṁ kâ g‡óán 3iilove TRA:2i egg.P2i She likes eggs. (TWb090405-0102.015)
- ě |àgṁ ká áàn-sà 3ii love TRA:2ii eat-NOM.2ii

She likes to eat. (TWb090405-0102.013)

ě |àgṁ kéè sí á-àn g‡óán kéè 3ii love TRA:3ii IPFV eat-2iegg.S2i COMP She likes to eat eggs. (TWb090405-0102.016)

2.3.1.6 Multi-purpose oblique (MPO)

- + as opposed to the areal trend, semantically more restricted to truly peripheral roles like, e.g., location (21), time (22), event quantity (23)
- > restricted semantic overlap with other prepositions (instrument in (25) instead of COM)
- (21) !ómà-kê ∥árí kê !Q'áè eland.P2ii-P be.much MPO:3i GN.3i Elands are numerous in !O'ae. (TWb080411-0111.010)
- (22) ě |á-í tróńk sóè kì tsháì kà'íkì 3ii stay.at -1 prison.S1 inside.S2ii MPO:1 day.S1 DEM.PROX:1 ... He is now ("this day/today") in prison. (TWb080411-0108.003)
- (23) ě ă gghórá kê n‡úm̀-sé 3ii PST vomit MPO:3i two-ABSTR.3i He has vomited two times. (TWb090405-0112.013)

2.3.2 Internal structuring

2.3.2.1 Transitive vs. multi-purpose oblique

+ segmentally unitary pattern [VERB kM NP] diverse according to verb valence and resulting GR status of complement

| | Verb+kM pattern | Semantics | Trans. example | Intrans. example | |
|---|-------------------------|-----------------|----------------------|------------------|--|
| | | | with kM | without kM | |
| 1 | Lexicalized transitive | inherent object | n/ámí kM 'throw' | does not exist | |
| 2 | Lexicalized alternation | ± object | !áú kM 'leave' | !áù 'remain' | |
| 3 | Productive alternation | possible object | n+àqnì kM 'sneak up' | n+àqnì 'sneak' | |
| 4 | Ad-hoc MPO addition | no object | | | |

Table 4: Verb patterns with kM flagging

- + subtle prosodic difference in tone class II between patterns 1-3 vs. 4
- > crucial argument for distinguishing transitive kM and multi-purpose oblique kM (24)
- sí xóá kí ⊙qàqé hòspítâl child.S3i:DEF IPFV write TRA:1 hospital.S The child is drawing the hospital. (TWb080829-0101.007)
- Ъ. ⊙qàqé sí xóá **kì** hòspítâl sóè child.S3i:DEF IPFV write MPO:1 hospital.S1 inside.S2ii The child is drawing in the hospital. (TWb080829-0101.006)

Conference "Diversity linguistics: retrospect and prospect"

(25) è ă |q'únn kí táfèl kì !xáí kí dzqx'ànì kì 3ii PST wipe TRA:1 table.S1 MPO:1 clothing.S1 I.REL:1 be.wet F.REL:1 She wiped the table with a wet cloth. (TWb090405-0116.046)

| Person and agreement class | | Transitive (T | 'RA) | Multi-purpose oblique (MPO) | | |
|----------------------------|-----------|---------------|-----------|-----------------------------|-----------|--|
| | | Agreement | Anaphoric | Agreement | Anaphoric | |
| Tone | 1S | n/a | k(á)ńg | n/a | k(á)ńg | |
| class I | 2S | n/a | k(á)á | n/a | k(á)á | |
| | 1P | n/a | k(í)í | n/a | k(í)í | |
| | 2P | n/a | k(ú)ú | n/a | k(ú)ú | |
| | ACL 2i | kâ [kā] | k(á)á(n) | kâ [kā] | k(á)á(n) | |
| | ACL 3i | kê [kē] | k(é)é | kê [kē] | k(é)é | |
| Tone | ACL 1(ii) | kí | k(i)ì | kì | k(i)ì | |
| class II | ACL 2ii | ká | k(a)à(n) | kà | k(a)à(n) | |
| | ACL 3ii | (kí) | k(e)è | (kì) | k(e)è | |
| | ACL 4(ii) | (kí) | k(u)ù | (kì) | k(u)ù | |
| | ACL 5(ii) | n/a | k(a)'ng | n/a | k(a)ǹg | |

Table 5: Tonal differentiation between inflected TRA and MPO marker

+ TRA is best viewed as an earlier MPO constituent shifted to a verb argument, and thus aligned with normal OBJ and EXO in terms of a) semantic profile, b) tonal behavior, and c) syntactic position (see also §2.3.2.2)

2.3.2.2 Arguments vs. obliques

- $+ \ \ major \ distinction \ between \ possible \ and \ impossible \ cooccurrence \ of \ prepositionals$
- > TRA, EXO and non-flagged OBJ are all in complementary distribution with each other, defining a unitary slot that correlates semantically with argument status
- + fixed order between "arguments" and other prepositional "obliques": {OBJ, EXO, TRA} before {DAT, COM, SIML, MPO}

2.3.2.3 Relative order of obliques

- + oblique complex also with more articulated internal structure
- DAT before {COM, SIML, MPO}
- SIML flexible with respect to COM, MPO in elicitation
- MPO overall last in the prepositional complex
- > only attested exceptions in elicitation with SIML

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> COM before MPO; apparently impossible inversion, although conceivable by European standards due to effect of animacy hierarchy

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(26) ě sí sáà /"è Oqáqè kê n||àhè !xáè
3ii IPFV go COM:3i child.S3i MPO:3i house.S3i big.S:3i
She goes with the child to the big house. (TWb090405-0105.007-11)
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3 Summary

- + refined system of GRs which still has four basic GRs
- aligns somewhat unexpectedly two argument prepositionals (EXO, TRA) with the nonflagged OBJ as opposed to the four oblique prepositionals (DAT, COM, SIML, MPO)
- lexicalized GRs privileged over other slots, irrespective of the canonical syntactic sequence

| GRI | | GRII | | GRIII | GRIV | |
|-----------|----|--------|---|---------------------------------|-----------------------|--|
| Clause- | | Pre- | | Post- | "Late" post- | |
| initial | | verbal | | verbal | verbal | |
| [SUBJECT | PO | ADVERB | V | OBJECT | OBLIQUE] | |
| | | | | $\mathbf{OBJ}_{\mathrm{LEX}}$ | $SIML_{(+	ext{LEX})}$ | |
| | | | | $\boldsymbol{EXO}_{\text{LEX}}$ | $DAT_{(+LEX)}$ COM | |
| | | | | TRA_{+LEX} | MPO | |

Figure 2: Revised system of four basic grammatical relations

- + West !Xoon as an "extreme" variant of the areal theme established by Non-Khoe
- > (retained) canonical Non-Khoe features:
 - highly fixed linear syntactic template (despite greater semantic specificity)
 - patient-like participants not conveyed by a unitary morphosyntactic strategy: non-flagged OBJ vs. prepositional EXO and TRA
 - assignment to linear template steered to a considerable extent by animacy (cf. COM-MPO restriction in §2.3.2.3), needs more systematic confirmation by discourse analysis
- > (innovative) non-canonical Non-Khoe features:
 - elaborate preposition set (particularly vis-à-vis opposite Ju extreme with single MPO)
 - semantic elaboration and specificity due to increased flagging inventory
 - important exceptions to the generality of the animacy hierarchy with DAT and SIML
 - stricter separation of argument vs. oblique in typologically expected direction

Abbreviations

1/2/3/4/5 Agreement class or, if immediately followed by S/P, person; i/ii Tone class A Agent (as primary transitive argument), ABSTR Abstract, ACL Agreement class, ADV Adverb, COM Comitative, COMP Complementizer, COP Copula, DAT Dative, DEF Definite, DC Dialect cluster, DEM Demonstrative, EXO External object, F.REL Final relative, FUT Future, GEN Genitive, GN Geographical name, ID Identificational, INF Infinitive, IPFV Imperfective, I.REL Initial relative, LEX Lexicalization, M Mora (vowel or nasal segment), MPO Multi-purpose oblique, NEG Negative, NOM Nominalization, NP Noun phrase, O object (as second transitive argument), OBJ Object (as GR), OBLIG Obligation, P Plural, PN Proper name, PO Predicate operator, POSSR Possessor, PREP Preposition, PROX Proximal, PST Past, S Singular, S subject (as single intransitive argument), SBJ subject (as GR), SIML Similative, THET Theticity, TRA Transitive, V Verb

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